

COUNTERPARTY REGISTRATION FORM

Section A. Approval as a New Counterparty

Please tick respective option that you will be counterparty to			Products
M Energy Asia Limited (MEA)	☐ Physical Counterparty	☐ Buyer	☐ Crude Oil
		☐ Seller	☐ LNG
	☐ Financial Counterparty		☐ Petroleum Products
	☐ Others:		☐ Mineral Commodities

Section B. Counterparty Information

B1.	Full legal entity name																			
B2.	Trading name <i>(if different)</i>																			
B3.	Registered address <i>Street address, and/or Postal Code or P.O. Box (as applicable), City, Country/State/Region (as applicable), Country</i>																			
B4.	Main place of business, trading or branch address																			
	<i>Include correspondence address, if different from the above</i>																			
B5.	Country/State of incorporation																			
B6.	Date of incorporation																			
B7.	Company registration number																			
B8.	Company registration expiry date	☐ Indefinite ☐ Definite																		
B9.	Company website <i>(if applicable)</i>																			
B10.	Core business activity/ies																			
B11.	<table border="1"> <thead> <tr> <th>Trader</th> <th>Broker</th> <th>Agent</th> <th>Financial Institution</th> <th>Service Provider</th> <th>NOC</th> <th>Manufacturer</th> <th>Distributor</th> <th>Other</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>		Trader	Broker	Agent	Financial Institution	Service Provider	NOC	Manufacturer	Distributor	Other									
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B12.	Jurisdiction(s) in which the company operates and/or principal place(s) of business																			
B13.	Has the company changed its corporate name in the past 5 years? <i>If yes, please provide details</i>	☐ Yes ☐ No																		
B14.	Has the company been re-incorporated or domiciled in a different jurisdiction in the past 5 years? <i>If yes, please provide details</i>	☐ Yes ☐ No																		

B15.	Has the company been subject to a major reorganization (eg. Merger, spin-off, bankruptcy proceedings) in the past 5 years? <i>If yes, please provide details</i>	☐ Yes ☐ No	
B16.	Physical performance (Summary of transactions performed in relation to oil and/or petrochemical business)		
B17.	Quality, Health, Safety, Security and Environment (QHSSE) (Existence of QHSSE Policy)		
B18.	Business Principles & Reputation		
B19.	Growth and regional/target market or location		
B20.	Risk profile (Existence of Risk Profile policy or guideline)		
B21.	Major Assets (Terminal, Storage, Refineries and Tankers)		
B22.	Production Capacity (in terms of E/P)		

Section C. Corporate Structure

C1.	Corporate form <i>(please tick as appropriate)</i>																
	<table border="1"> <thead> <tr> <th>Public Company</th> <th>Private Company</th> <th>Partnership</th> <th>State or Govt Entity</th> <th>Individual</th> <th>Other <i>(please specify)</i></th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Public Company	Private Company	Partnership	State or Govt Entity	Individual	Other <i>(please specify)</i>										
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	<i>*if Publicly-traded company – please specify exchange where shares are listed and market</i>																

C2.	Management – Director, Officers and Key Managers Please provide the following information for all directors (incl. Chairman) and officers of the company and key managers. Officers shall include, at least, the chief executive officer (or similar), chief financial officer and/or president (or similar), chief operations officer (or similar), and general counsel (or similar): <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr style="background-color: #e0f2f1;"> <th style="width: 30%;">Full Name (Individual(s))</th> <th style="width: 15%;">Role</th> <th style="width: 20%;">Citizenship/Nationality</th> <th style="width: 20%;">Country of Residence</th> <th style="width: 15%;">Date of birth</th> </tr> </thead> <tbody> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> </tbody> </table>	Full Name (Individual(s))	Role	Citizenship/Nationality	Country of Residence	Date of birth																																																		
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C3.	Direct Ownership Please provide details of all direct owners, shareholders (institutional or individual) or holders of the voting rights of the Company or names of any individual(s) who otherwise exercise control over the management of the Company. For publicly traded companies, please provide details of all shareholders who hold (legally or beneficially) 5% or more of the company's shares or voting rights: <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr style="background-color: #e0f2f1;"> <th style="width: 40%;">Company Name (Entity/ies)</th> <th style="width: 20%;">Percentage Ownership</th> <th style="width: 20%;">Country of Incorporation</th> <th style="width: 20%;">Date of Incorporation</th> </tr> </thead> <tbody> <tr><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td></tr> </tbody> </table> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr style="background-color: #e0f2f1;"> <th style="width: 30%;">Full Name (Individual(s))</th> <th style="width: 15%;">Percentage Ownership</th> <th style="width: 20%;">Citizenship /Nationality</th> <th style="width: 20%;">Country of Residence</th> <th style="width: 15%;">Date of birth</th> </tr> </thead> <tbody> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> </tbody> </table>	Company Name (Entity/ies)	Percentage Ownership	Country of Incorporation	Date of Incorporation																	Full Name (Individual(s))	Percentage Ownership	Citizenship /Nationality	Country of Residence	Date of birth																														
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C4.	Ultimate Beneficial Ownership If one or more of the direct owners is a company (see C3.) such as the immediate parent company, hierarchical parent company or ultimate parent company), list the shareholders of this company, up to and including the Ultimate Beneficial Owners and the names of any intermediate entities or individuals owning an interest in that company. Ultimately, we require all beneficial owners of all shareholding entities to the level of either individuals and/or publicly traded companies. For publicly traded companies, please provide details of all shareholders who hold (legally or beneficially) 5% or more of the company's shares or voting rights. Please provide a certified ownership diagram up to Ultimate Beneficiary Owner(s). Please provide the details for every level of shareholding, up to including the Ultimate Beneficial Owners. <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr style="background-color: #e0f2f1;"> <th style="width: 30%;">Company Name (Entity/ies)</th> <th style="width: 10%;">Percentage Ownership</th> <th style="width: 15%;">Country of Incorporation</th> <th style="width: 15%;">Date of Incorporation</th> <th style="width: 30%;">If direct ownership, please specify intermediaries</th> </tr> </thead> <tbody> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> </tbody> </table> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr style="background-color: #e0f2f1;"> <th style="width: 25%;">Full Name (Individual(s))</th> <th style="width: 10%;">Percentage Ownership</th> <th style="width: 15%;">Citizenship /Nationality</th> <th style="width: 15%;">Country of Residence</th> <th style="width: 15%;">Date of birth</th> <th style="width: 20%;">If direct ownership, please specify intermediaries</th> </tr> </thead> <tbody> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> </tbody> </table>	Company Name (Entity/ies)	Percentage Ownership	Country of Incorporation	Date of Incorporation	If direct ownership, please specify intermediaries																					Full Name (Individual(s))	Percentage Ownership	Citizenship /Nationality	Country of Residence	Date of birth	If direct ownership, please specify intermediaries																								
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C5.	Subsidiaries Please provide a list of all subsidiaries			
	Company Name (Entity/ies)	Percentage Ownership	Country of Incorporation	Date of Incorporation
C6.	Financial Information			
	Type of Information	Current Year (Estimated) (in US\$)	Last Year (in US\$)	
	Sales / Revenue			
	Net Profit / Loss			
	Net Worth			
	Authorized Capital			
	Paid Up Capital			

Section D. Counterparty Background Information

Definition	
For this Section D, “Affiliate” include:	
i.	Any parent of the company;
ii.	Any company or partnership in which the company or any parent of the company, directly or indirectly owns or controls, fifty percent (50%) or more of the ownership interest – having the right to vote or appoint its directors or functional equivalents; and
iii.	Any joint venture in which the company, and any parent, or a company meeting the requirements above has day-to-day operational control.

D1.	Has the company had any previous contracts with, or was supplier/service provider, or customer to MEA or its Affiliates? <i>If yes, please provide details.</i>	☐ Yes ☐ No	
D2.	Is the company planning to subcontract any part of obligations/contract, or partner in any way with third party with respect to the company’s dealings with MEA or its Affiliates? <i>If yes, please provide details.</i>	☐ Yes ☐ No	
D3.	Is the company planning to use agent(s), broker(s), intermediary/ies, representative(s) or any other third party/ies acting on its behalf in relation to or involving dealings with MEA or its Affiliates? <i>If yes, please provide details.</i>	☐ Yes ☐ No	

Section E. Compliance & Regulatory

Definition																							
For this Section E, "Affiliate" include:																							
i. Any parent of the company; ii. Any company or partnership in which the company or any parent of the company, directly or indirectly owns or controls, fifty percent (50%) or more of the ownership interest – having the right to vote or appoint its directors or functional equivalents; and iii. Any joint venture in which the company, and any parent, or a company meeting the requirements above has day-to-day operational control.																							
E1.	Is the company or any of its Affiliates organized, existing, incorporated, or operating under the laws of a jurisdiction currently under comprehensive sanctions by the United Nations (UN), United States (U.S.), European Union (EU) and its Member States, and the United Kingdom (UK) (including, but not limited to, Crimea, Cuba, Iran, North Korea, or Syria)?		☐ Yes ☐ No																				
If yes, please complete the information below:																							
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E2.	Is the company or any of its Affiliates, owners, officers, or directors identified on: 1. United Nations (UN) Security Council Consolidated List; or 2. U.S. Office of Foreign Assets Control (OFAC) <u>Specially Designated National ("SDN") List</u>; or 3. U.S. OFAC <u>Sectoral Sanctions Identifications (SSI) List</u>; or 4. U.S. Commerce Department's <u>Denied Persons List</u> and <u>Entity List</u> (eCFR, Supplement No. 4 to Part 744 – Entity List); or 5. EU <u>Consolidated Financial Sanctions List</u>; or 6. UK <u>Consolidated List of Financial Sanctions targets</u>?		☐ Yes ☐ No																				
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E3.	In the past 5 (five) years, has the company, or any entity with an ownership interest in the company or its Affiliates, (1) been charges with or investigated (either criminal or civil) by an enforcement or regulatory agency; or (2) been a defendant in private litigation, related to any of the following in the below table?	☐ Yes ☐ No															
<i>If yes, please indicate in the below table:</i> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 5%; text-align: center;">1.</td> <td style="width: 80%;">Sanctions violations</td> <td style="width: 15%; text-align: center;">☐</td> </tr> <tr> <td style="text-align: center;">2.</td> <td>Money laundering</td> <td style="text-align: center;">☐</td> </tr> <tr> <td style="text-align: center;">3.</td> <td>Fraud</td> <td style="text-align: center;">☐</td> </tr> <tr> <td style="text-align: center;">4.</td> <td>Other financial or ethical charge</td> <td style="text-align: center;">☐</td> </tr> <tr> <td style="text-align: center;">5.</td> <td>In their capacity as shareholders, any criminal matter</td> <td style="text-align: center;">☐</td> </tr> </table> <i>If the answer above is yes, please provide details of the relevant entity, investigation, enforcement or litigation action, including how it was resolved below:</i>			1.	Sanctions violations	☐	2.	Money laundering	☐	3.	Fraud	☐	4.	Other financial or ethical charge	☐	5.	In their capacity as shareholders, any criminal matter	☐
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2.	Money laundering	☐															
3.	Fraud	☐															
4.	Other financial or ethical charge	☐															
5.	In their capacity as shareholders, any criminal matter	☐															
E4.	In the past 5 (five) years, has (i) any individual with an ownership interest in the Company, or (ii) any other individual listed in response to Sections A and B (collectively, “individual”): (1) been charged with or investigated (either criminal or civil) by an enforcement or regulatory agency; or (2) in their capacity as owners, officers, directors, or key managers of the Company, been a defendant in private litigation, related to any of the following in the below table?	☐ Yes ☐ No															
<i>If the answer above is yes, please provide details of the relevant individual, investigation, enforcement or litigation action, including how it was resolved below:</i> Note: Please do not provide personal information with respect to the individuals who has been charges with or investigated (either criminally or civil) by an enforcement or regulatory agency, if both: (i) the individual has his/her residence in European Area (including European Economic Area, UK and Switzerland), and (ii) the activity has been committed, or the proceedings/investigation is carried out in European Area (including European Economic Area, UK and Switzerland)																	

E5.	Has Corporation/Company or any of Corporation/Company's owners, officers, directors or key personnel ever been suspended, excluded or debarred from government contracting, or had any license revoked because of illegal or corrupt conduct?	☐ Yes ☐ No
	<i>If any of the above is yes, please provide details of the relevant individual and (alleged) illegal or corrupt conduct.</i> 	
E6.	Do any of Corporation/Company's owners, officers, directors or key executives or their immediate family members work for or have a beneficial interest in MEA or any Affiliates of MEA?	☐ Yes ☐ No
	<i>If yes, please elaborate/explain:</i>	
	Do any MEA employees or their immediate family members work for or have a beneficial interest in the Corporation/Company to your knowledge?	☐ Yes ☐ No
	<i>If yes, please elaborate/explain:</i>	
	Has Corporation/Company ever served as or is Corporation/Company presently a consultant or contractor to a current or prospective customer of MEA or any of its Affiliate?	☐ Yes ☐ No
	<i>If yes, please elaborate/explain:</i>	
	Does Corporation/Company currently employ any individual previously employed by a current or prospective customer of MEA or any of its Affiliates?	☐ Yes ☐ No
	<i>If yes, please elaborate/explain:</i>	
	Will such an individual currently or formerly employed by a current or prospective customer of MEA or any of its Affiliates be engaged in an activity on Corporation/Company's behalf relating to your relation with MEA or any of its Affiliates?	☐ Yes ☐ No

	Does Corporation/Company currently employ any individual previously employed by MEA or any of its Affiliates?	☐ Yes ☐ No
	<i>If yes, please elaborate/explain:</i> 	
E7.	Have you engaged any agents, brokers or other representatives who would receive a commission, reward or percentage-based fee related to any potential commercial arrangement between you and MEA (or any MEA's Affiliates)?	☐ Yes ☐ No
	<i>If yes, please elaborate/explain:</i> 	
	Are you aware of any business or payment arrangements between any of your employees, officers, directors, shareholders or agents (acting in their personal capacity), and any employee, officer, director, shareholder or agent of MEA or of its Affiliates?	☐ Yes ☐ No
	<i>If yes, please elaborate/explain:</i> 	
E8.	Does the Company have a compliance program?	☐ Yes ☐ No
	<i>If yes, please provide details:</i> 	

Section F. Banking Details

F1.	Principal Bank Name	
F2.	SWIFT Code	
F3.	Bank Number – ABA Code / CHIPS Code / SORT Code / NIB Code / SIC Code / IFSC Code / Bank Routing Number (as applicable)	
F4.	Bank Branch Address	
F5.	Bank Country	
F6.	Beneficiary/Account Name	
F7.	Currency of Account	
F8.	Bank Account No/IBAN	

F9.	Bank/Account Clerk Contact (Name, email, phone)	
F10.	Intermediary/Correspondent Bank Details (if applicable)	
F11.	Source of Funds (Sales Proceeds/Services Rendered/Trust Fund/ Others)	

Section G. Key Contacts and References

G1.	Please provide contact information as follows:			
	Name	Title	Email	Phone
Primary contact for Registration Form				
Finance/Settlements				
Contracts				
Compliance/Legal				
G2.	Please provide details of two individuals and two banks who can act as counterparty referees:			
Business Referees				
a.	Name and contact details of business references (customers or vendors)			
b.	Name and contact details of business references (customers or vendors)			
Bank Referees				
a.	Name and contact details of bank references (banks with which counterparty conducts business)			
b.	Name and contact details of bank references (banks with which counterparty conducts business)			

Section H. Additional Documentation

Required Document Included:	
Valid Commercial Registration or Certificate of Incorporation	☐ Yes ☐ No
Certified authorized signatory list – Signed certification (if not provided in commercial registration)	☐ Yes ☐ No
Certified ownership diagram up to Ultimate Beneficial Owner	☐ Yes ☐ No
Last three years audited financial statements	☐ Yes ☐ No
Bank reference and certification letter (details in Section F)	☐ Yes ☐ No

Potential Additional Document Included:	
VAT Registration Certificate (Where applicable)	☐ Yes ☐ No
Other (Specify):	

Section I. Counterparty acknowledgement

I certify that the information provided is, to the best of my knowledge and belief, accurate, current and complete, and I have reviewed the above provided information and certify that the foregoing information is true and accurate.

<u>Counterparty acknowledgement</u>	
Name	Signature

Title	Full Legal Entity Name (if applicable)
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Date
Company Stamp

Section J. Counterparty comments (if any)

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